

# E. Brooke Harrington

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## Academic Appointments

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2017-present	<i>Professor mso</i> Copenhagen Business School
2010-2016	<i>Associate Professor of Sociology</i> Copenhagen Business School (tenured) Maternity leave: January-August 2010
2012-2013	<i>Visiting Scholar</i> (during research leave from CBS) European University Institute
2006-2009	<i>Research Fellow, Max Planck Institute for the Study of Societies</i>
2003-2004	<i>Visiting Scholar</i> (during sabbatical leave from Brown) Princeton University Stanford Graduate School of Business Santa Fe Institute
1999-2007	<i>Assistant Professor of Sociology and Public Policy</i> Brown University

## Education

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1999	Ph.D. in Sociology, Harvard University
1996	M.A. in Sociology, Harvard University
1990	B.A. in English Literature, Stanford University

## External Research Funding (selected)

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2014	<i>Council for Independent Research, Denmark: DKK 3,200,000</i> PI on Economy and Society Division project, "Mind the Gaps: Explaining Nordic Exceptionalism in Taxation Policies."
2011	<i>Danish Ministry for Research and Innovation: DKK 170,000</i> PI on EUopStart project, "Socio-Economic Inequality and the Role of the Wealth Management Profession."

- 2009 *American Sociological Association: \$6,900*  
PI on Fund for the Advancement of the Discipline project,  
"Reproduction of Wealth and Inequality in the US and Europe,"
- 2008 *University of Michigan PSID Program: \$10,600*  
PI on PSID project, "Inheritance and Retirement Wealth."
- 2007 *Alexander von Humboldt Foundation: €150,000*  
PI on two-year project, "Inequality and the Dynastic Accumulation of  
Wealth in Germany and the United States."
- 2001 *Boston College: \$24,000*  
PI on Center for Retirement Research project, "Distributional and  
Demographic Effects of Social Security Privatization."
- 2000 *National Science Foundation: \$220,000*  
PI on Decision, Risk, and Management Science project,  
"The Impact of Gender and Group Processes on Investment  
Decisions." Led four-person research team during a two-year study.

## Awards and Honors

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- 2018 Academy of Management: International Impactful Collaboration Award
- 2018 American Sociological Association: IPM Outstanding Book Award
- 2017 Copenhagen Business School: DSEB Research Prize
- 2012 European University Institute: Fernand Braudel Senior Fellowship
- 2006 Max Planck Institute for the Study of Societies: Research Fellowship
- 2003 Brown University: Wriston Teaching Award
- 2002 Academy of Management: Newman Best Paper Award
- 2002 Academy of Management: OB Division Best Paper Award

## Books

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- 2016 *Capital without Borders: Wealth Management and the One Percent*  
Harvard University Press. 2017 Danish translation: Djøf Forlag,  
Copenhagen. Japanese and Korean translations in progress.  
—Winner of the 2018 "Outstanding Book Award" from the Inequality,  
Poverty and Mobility Section of the American Sociological  
Association.
- 2009 *Deception: From Ancient Empires to Internet Dating*  
Stanford University Press; editor and contributor for multi-author volume.  
2012 Korean translation: Slow and Steady Publishing, Seoul.

2008 *Pop Finance: Investment Clubs and Stock Market Populism*  
Princeton University Press.  
2010 Chinese translation: Renmin University Press, Beijing.

## Refereed Journal Articles

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- 2018 "Between Kinship and Commerce: Fiduciaries and the Institutional Logics of Family Firms." *Family Business Review*, in press. With Vanessa Strike.
- 2017 "Fraud and Fantasy: Toward a New Research Agenda for Economic Sociology." *Socio-Economic Review*, 15: 249-255.
- 2017 "Habitus and the Labor of Representation Among Elite Professionals." *Journal of Professions and Organization*, 4: 282-301.
- 2016 "Trusts and Financialization." *Socio-Economic Review*, 14: 31-63.
- 2015 "Going Global: Professionals and the Microfoundations of Institutional Change." *Journal of Professions and Organization*, 2: 103-121.
- 2014 "The Companies We Keep: From Legitimacy to Reputation in Retail Investment." *Socio-Economic Review*, 12: 186-195.
- 2012 "Trust and Estate Planning: A Profession and Its Contribution to Socio-Economic Inequality." *Sociological Forum*, 27: 825-846.
- 2012 "Scenes from a Power Struggle: The Rise of Retail Investors in the US Stock Market." *Research in the Sociology of Organizations*, 34: 231-258.
- 2009 "Trust and Estate Planning." *Max Planck Institute Discussion Paper Series*, 09/6:1-28.
- 2008 "Politics in the Public Sphere: The Power of Tiny Publics in Classical Sociology." *Sociologica*, 1/2008: 1-20. With Gary Fine.
- 2006 "Where the Action Is: Small Groups and Contemporary Sociological Theory." *Small Group Research*, 37: 1-16. With Gary Fine.
- 2004 "Tiny Publics: Small Groups and Civil Society." *Sociological Theory*, 22: 341-356. With Gary Fine.
- 2003 "The Social Psychology of Access in Ethnographic Research." *Journal of Contemporary Ethnography*, 32: 592-625.
- 2002 "The Pervasive Effects of Network Content." *Academy of Management Best Paper Proceedings*, 50: 1-6.
- 2002 "Obtrusiveness as Strategy in Ethnographic Research." *Qualitative Sociology*, 25: 49-61.
- 2001 "Organizational Performance and Corporate Social Capital." *Research in the Sociology of Organizations*, 18: 83-106.
- 2000 "Opening the 'Black Box': Small Groups and 21st Century Sociology." *Social Psychology Quarterly*, 63: 312-323. With Gary Fine.

## Book Chapters

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- 2017 "Studying Elite Professionals in Transnational Settings." Pp. 39-49 in *Professional Networks in Transnational Governance*, Leonard Seabrooke and Lasse Folke Henriksen (Eds.), Cambridge University Press.
- 2016 "Social Structure, Power and Financial Fraud." Pp. 340-355 in *The Global Financial Crisis: Economics, Psychology and Values*, Tassos Malliaris, Leslie Shaw and Hersh Shefrin (Eds.), Oxford University Press.
- 2015 "Immersion Ethnography of Elites." Pp. 134-142 in *Handbook of Qualitative Organizational Research*, Kimberly Elsbach and Roderick Kramer (Eds.), Routledge.
- 2013 "States and Financial Crises." Pp. 267-282 in *Introduction to Political Sociology*, Benedikte Brincker (Ed.), Gyldendal Akademisk.
- 2012 "From Trustees to Wealth Managers." Pp. 190-209 in *Inherited Wealth, Justice, & Equality*, Guido Erreygers and John Cunliffe (Eds.), Routledge.
- 2012 "Shame and Stock Market Losses: The Case of Amateur Investors in the US." Pp. 81-98 in *Emotions in Finance*, Jocelyn Pixley (Ed.), Routledge.
- 2012 "The Sociology of Financial Fraud." Pp. 393-410 in *The Oxford Handbook of the Sociology of Finance*, Karin Knorr-Cetina and Alex Preda (Eds.), Oxford University Press.
- 2009 "Introduction: Beyond True and False." Pp. 1-18 in *Deception: From Ancient Empires to Internet Dating*, Brooke Harrington (Ed.), Stanford University Press.
- 2009 "Responding to Deception: The Case of Fraud in Financial Markets." Pp. 236-53 in *Deception: From Ancient Empires to Internet Dating*, Brooke Harrington (Ed.), Stanford University Press.
- 2009 "Introduction." Pp. ix-xxi in *Death, Deeds and Descendants, 2<sup>nd</sup> Ed.*, by Remi Clignet, Transaction Press. With Jens Beckert.
- 2007 "What Is Social, or Secure, About Social Security?" Pp. 343-46 in D. Papadimitriou (Ed.), *Government Spending on the Elderly*, Palgrave.
- 2007 "Can Small Investors Survive Social Security Privatization?" Pp. 308-13 in D. Canon and J. Coleman (Eds.), *Faultlines: Debating the Issues in American Politics*, W.W. Norton.

## Work in Progress

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- 2018 "Turning Vice into Virtue: Institutional Work and Professional Misconduct" Second revision under review at *Human Relations*.
- 2018 "Attitude-Behavior Consistency in Tax Compliance: A Cross-National Comparison" Revision under review at *Journal of Economic Behavior and Organization*. With Alice Guerra.

## **Invited Lectures (selected)**

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- 2018 "Global Elites and Offshore." Northwestern University, Evanston, May 24.
- 2017 "Inequality as a Product of Offshore Jurisdictions." Hebrew University, Jerusalem, March 20.
- 2017 "Global Wealth Inequality." University of the Higher School of Economics, St. Petersburg, Russia, February 2.
- 2016 "Wealth Management and Inequality." Trinity College, Dublin, March 24.
- 2016 "Methodology and Globalization: For a Sociology of the Small Picture." Finnish Sociological Society Annual Meeting, Jyväskylä, March 17.
- 2015 "Good People, Bad Reputations: Resolving Identity-Reputation Conflict in Financial Services." University of Oxford, UK, May 14.
- 2013 "Professions and Plutocracy: The Case of Wealth Managers." European University Institute, Florence, February 20.
- 2012 "From Trustees to Wealth Managers." European Science Foundation Conference on Shadow Banking, Copenhagen, May 25.
- 2010 "The Limitations of Behavioral Finance." Chartered Financial Analysts Institute, INSEAD, Fontainebleau, France, June 9.
- 2009 "Wealth of Nations? Dynastic Fortunes and the Power of the State." Avenir Suisse, Zurich, June 5.
- 2009 "Structure and Strategy: Organization Design in Complex Systems." Society of Chartered Financial Analysts, Frankfurt, February 10.
- 2008 "Investors, Identity and Financial Markets." Royal Society of Arts, London, April 14.
- 2007 "Fraud in Financial Markets: The Response of Individual Investors." Max Planck Institute for the Study of Societies, June 21.
- 2006 "Dollars and Difference: The Effects of Group Demography on Workgroup Performance." Cornell University, April 28.
- 2004 "Diversity as Adaptation in Complex Financial Systems." Santa Fe Institute, April 7.
- 2004 "The Business Case for Diversity." Stanford GSB, February 17.

## **Conference Papers (selected)**

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- 2017 "*Capital without Borders: An Assessment.*" For author-meets-critics session at Society for the Advancement of Socio-Economics, Lyon.
- 2016 "Old Wine in New Bottles: Translating Finance for an International Elite." Society for the Advancement of Socio-Economics, San Francisco.
- 2014 "Going Global: The Transnational Diffusion of Professional Work." American Sociological Association, San Francisco.

2012	“Investor Responses to Financial Losses.” Law & Society, Honolulu.
2009	“The Trustee.” American Sociological Association, San Francisco.
2009	“Proust’s Portfolio: Value, Meaning and Identity.” Society for the Advancement of Socio-Economics, Paris.
2008	“The Diversity Premium.” American Sociological Association, Boston.
2006	“Social Responsibility and Social Identity in Stock Investment.” American Sociological Association, Montreal.
2005	“Where the Action Is: New Directions in Small Group Sociology.” American Sociological Association, Philadelphia.

## **Teaching Areas**

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Economic Sociology (undergraduate)  
 Organizational Analysis (undergraduate)  
 Diversity in Groups and Teams (MA)  
 Qualitative Research Methods (PhD and MA)  
 Mixed Method Research and Fields of Social Inquiry (PhD)

## **Professional Activities & Affiliations**

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<b>Editorial Board</b>	<i>Journal of Professions and Organization</i> , 2017-present <i>European Economic Sociology Newsletter</i> , 2007-2009
<b>Journal Reviewer</b>	<i>American Sociological Review</i> , <i>American Journal of Sociology</i> , <i>Science</i> , <i>Social Forces</i> , <i>Organization Science</i> , <i>Academy of Management Journal</i> , <i>Research in the Sociology of Organizations</i> , 1999-present.
<b>Conference Organizer</b>	“ <i>Deception: Methods, Motives, Contexts and Consequences.</i> ” Santa Fe Institute; Santa Fe, NM, 2005 & 2007. Basis for edited volume published in 2009 by Stanford University Press.  “ <i>Women, Men, Money &amp; Markets.</i> ” Academy of Management annual meeting; Washington, DC, 2001.

## **Service & Supervision**

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<b>Program Director</b>	<i>Degree program in Sociology and Business Administration</i> Leadership of undergraduate program involving 173 students at Copenhagen Business School, 2016-present.
<b>Program &amp; Curriculum Development</b>	<i>New degree program in Sociology and Business Administration</i> Created courses, exams and curricula for new undergraduate major at the Copenhagen Business School, 2010-present.

*New degree program in Public and Private Sector Organizations*  
Designed core courses, speaker series and extra-curriculars for new degree offered at Brown University, 1999-2006.

**International**

Designed and taught MA course on Organizations & Management at the Sino-Danish Research Center in Beijing, 2013.

Designed and taught courses on fund-raising and grant-writing to faculty at universities in Tanzania and Ghana, 2012-2013.

**Research Supervision**

Supervised 5 PhD dissertations, 16 MA theses, and 40 BA theses, all completed successfully.

**Communications & Consultancies (selected)**

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**Interviews Given**

Research findings featured in the *New York Times*, the *Wall Street Journal*, CNN, NPR, the BBC, *Time* and *Newsweek* magazines, along with other TV, print and radio outlets, 1998-present.

**Articles Published in Mass Media**

“Yes, Trump’s Cabinet Is Super-Rich. That’s Not Why We Should Be Worried.” *Washington Post*, 19 January 2017.

“Inside the Secretive World of Tax Avoidance Experts.” *The Atlantic*, feature article, 26 October 2015.

“Monsters of the Complex Market.” *Philadelphia Inquirer*, op-ed, 18 November 2008.

“Investor Beware” and “Money and Moral Hazard.” *The American Prospect*, cover story, 10 September 2001.

**Consulting Clients**

World Bank, International Monetary Fund, European Parliament, OECD, Danish Parliament, amazon.com, Fidelity Investments, Boston Private Value Investors, Legg Mason Funds Management, Ogilvy & Mather, tax authorities of Denmark, Iceland, Finland and South Korea.

**Languages**

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<b>English</b>	Native
<b>French</b>	Fluent
<b>German</b>	Good
<b>Danish</b>	Beginner