

## Greater access to scholarly publications from CBS

### The CBS Open Access Policy – 2009

#### Background

Universities find themselves in a situation in which research becomes more and more international through increased cooperation with other universities inside and outside of Europe. This has been the case for CBS for several years, where internationalization has been one of the key strategic goals.

Scientific information is increasingly digitized, journals appear more and more in e-format only and references to e-science and e-research gains ground. More and more researchers expect rapid access to research material and information and prefer search tools for information that provides easy access to content via the internet.

Many funders have recognised that the job of research is only half-done if the results of that research cannot reach the widest audience. Some are formulating policies to require Open Access to their funded research, and the European Re-search Council has recommended an open access policy for all EU funded re-search.

CBS as other universities find themselves in a transitional process in which access to the results of their research is an important prerequisite to participating in the international research community and research competition.

And as a publicly funded university CBS has a duty to inform the general public about its research activities and results and to provide access to published results of the research to industry and business to stimulate knowledge exchange and further innovation.

In line with these considerations CBS last year signed the Berlin Declaration, which calls for unrestricted Open Access to Knowledge in the Sciences and Humanities. In order to implement the Berlin Declaration institutions should:

- a) "implement a policy to require their researchers to deposit a copy of all their published articles in an open access repository and
- b) encourage their researchers to publish their research articles in open access journals where a suitable journal exists and provide the support to enable that to happen."

To clarify the concept “open access” the following quotation from the British organisation Sherpa (Securing a Hybrid Environment for Research Preservation and Access) can be of help:

“Open Access is

*If an article is “Open Access” it means that it can be freely accessed by anyone in the world using an internet connection. This means that the potential readership of Open Access articles is far, far greater than that for articles where the full-text is restricted to sub-scribers. Evidence shows that making research material Open Access increases the number of readers and significantly increases citations to the article.*

What Open Access is not

*It is important to point out that Open Access does not affect peer-review; articles are peer-reviewed and published in journals in the normal way. There is no suggestion that authors should use repositories instead of journals. Open Access repositories supplement and do not replace journals.*

Open Access Solutions

*Open Access is taking the results of research that has already been paid for and making it freely available on-line, through repositories and websites. This process can have significant advantages for individual authors, for researchers, for institutions and for the process of research generally by freeing up the process of dissemination.”*

This policy document describes the principles and procedures in this implementation at CBS.

### **Policy principles**

CBS and the faculty at CBS are committed to disseminating the results of its re-search and scholarship as widely as possible.

To fulfill that commitment CBS is adopting an Open access policy that provide open access to full-text versions of all scholarly papers and articles written by its faculty.

The aim is to allow these publications to be read, searched, printed, distributed or utilized in any other conceivable legitimate manner without any financial, technic-al or legal restrictions.

This does not affect the author’s legal right to be identified as the copyright holder of such works.

This open access policy furthermore seeks to increase authors’ influence in scholarly publishing by establishing a collective practice of retaining a right to open access dissemination of certain scholarly works.

As a consequence of this policy CBS faculty shall routinely grant to CBS a license to place in a non-commercial open-access online repository (OpenArchive@CBS) the faculty member's scholarly work published in a scholarly journal or conference proceedings.<sup>1</sup>

A license means that the copyright owner gives to another the right to use a copyrighted work in specified ways. This license shall be limited, irrevocable, perpetual, worldwide, royalty-free, fully paid-up, and non-exclusive. Such a license does not transfer any right to CBS other than the specific license to place the work in the non-commercial, open-access online repository (OpenArchive@CBS). Accordingly the faculty member retains copyright ownership in his or her work.

In the event a faculty member is required to assign all or a part of his or her copy-right rights in such scholarly work to a publisher as part of a publication agreement, the faculty member shall retain in the publication agreement the right to grant the foregoing license to CBS.

Faculty may opt out of this policy for any specific work or invoke a specified delay before such work appears in an open-access repository in accordance with the opt-out mechanism set forth below.

The policy will apply to all scholarly articles written while the person is a member of the Faculty except for any articles completed before the adoption of this policy and any articles for which the Faculty member entered into an incompatible licensing or assignment agreement before the adoption of this policy. But it is strongly recommended that faculty consider depositing articles written earlier to the adoption of this policy if existing publishing agreements does not prevent this.

A faculty member may opt out of this policy for a specific work that has been accepted for publication in a journal or conference proceeding that refuses to allow open access depositing of the work. The Research Dean or the deans designate will waive application of the policy for a particular article upon written notification by the author, who informs CBS of the reason.

### **Implementation**

CBS is committed to providing the necessary technical, organizational

---

<sup>1</sup> A license means that the copyright owner gives to another the right to use a copyrighted work in specified ways. This license shall be limited, irrevocable, perpetual, worldwide, royalty-free, fully paid-up, and non-exclusive. Such a license does not transfer any right to CBS other than the specific license to place the work in the non-commercial, open-access online repository (OpenArchive@CBS). Accordingly the faculty member retains copyright ownership in his or her work.

and non-material support that will help the open access policy to be implemented in the best way.

CBS Executive Management Team has assigned the responsibility for running the institutional repository (OpenArchive@CBS) to the CBS Library, which will also be responsible for helping the faculty in any necessary way in relation to publishing in open access journal, retaining rights to open access depositing or other problems arising from the implementation of the policy.

The CBS institutional repository has been built on international standards to en-sure long-term, worldwide access to the archived documents.

The actually archiving of the individual document is done by the library as part of the process of research registration, where the library will contact the researchers to get a full text version of the articles.

CBS support the idea of publishing scholarly articles and papers in recognized, peer-reviewed, open-access journals or other open access publication channels. The CBS Library will help identifying possible publication channels that fulfill this goal and provide overview of such publication channels.

Although a substantial number of journals allow open access archiving in one form or the other there still remains many highly esteemed journals that have not yet developed an open access policy of their own, which are interesting and regularly used publication channels for CBS.

The faculty is encouraged to choose the best possible publication channel for their research results in terms of readership, but they are required to demand that publishers grant them the right to further use of their own work in teaching, collaboration with fellow scholars and open access depositing. An addendum to a standard publishing agreement helping retaining these rights based on the [Science Commons Scholar's Copyright Addendum](#), developed with SPARC and MIT, will be made available by the CBS Library as well as a Danish version of a publishing agreement.

If articles are published in publication channels that are not readily accessible to the general public or that require a subscription, copies of the article must be made available through OpenArchive@CBS. If an embargo is required by the publishing house an embargo period of up to one year may be respected. In cases where the publisher refuses to allow open access depositing and / or further use of the scholarly work and where the publication in this specific channel is deemed necessary the Research Dean and the CBS Library will handle the demands for

opting-out. The individual author must send a written notification to the library which proposes to the dean whether he should grant the opt-out possibility. The articles not archived for this reason must be registered in OpenArchive@CBS with bibliographical information, a short résumé and information about publication channel.

In the first 3 year period of implementing this policy the questions of opting-out will be dealt with very carefully. The intention of the open access policy is to pro-mote and disseminate as widely as possible the research from CBS not to pre-vent publishing.

The Executive Management Team, Heads of Departments and Directors of Centers are expected to actively support and encourage faculty in living up to the principles in this policy.

In line with the intention of the Berlin Declaration and in cooperation with other parts of the Danish and international research community CBS is committed to lobbying for official recognition of open access publishing in Denmark.

This policy paper has been adopted by the Executive Management Team after due consultation with the Academic Council and CBS Heads of Departments and Directors of Centers.

The policy paper shall be revised yearly for the next 3 years in order to adapt the policy to recent developments within Open Access and to adjust to practical experiences from the implementation process at CBS.

*CBS, June 2009*

